

# Generalized Hydrodynamics

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# Outline

## Hydrodynamics

- Maximal entropy states and thermodynamics

- Euler hydrodynamics

## Integrable systems and TBA

- Scattering map in integrable systems

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## Generalized Hydrodynamics

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## Maximal entropy states

**Setting:** homogeneous many-body 1d system of  $\infty$  length, with short-range interactions. Let the system admit a set of conserved charges

$$Q_i = \int dx \tilde{q}_i(x, t), \quad \frac{\partial Q_i}{\partial t} = 0$$

where  $\tilde{q}_i(x, t)$  are a set of local densities s.t.

$$\partial_t \tilde{q}_i(x, t) + \partial_x \tilde{j}_i(x, t) = 0.$$

After a long enough time, we expect any finite region to relax to a state described by the density matrix  $\rho$ , the rest of the system playing the role of a bath. This  $\rho$  maximizes the entropy

$$S = -\text{Tr}\{\rho \log \rho\} \quad \rightarrow \quad \rho \sim e^{-\sum_i \beta^i Q_i}.$$

# Thermodynamics

Averages of observables, invariant under spacetime translations, are given by

$$\langle O \rangle = \text{Tr}\{\rho O\} = \langle O \rangle_{\vec{\beta}}$$
$$-\frac{\partial}{\partial \beta^i} \langle O \rangle_{\vec{\beta}} = \int dx \langle O \tilde{q}_i(x, 0) \rangle_{\vec{\beta}}^c$$

where the set of Lagrange parameters  $\vec{\beta}$  specifies the state. In particular,

$$q_i \equiv \langle \tilde{q}_i(0, 0) \rangle_{\vec{\beta}} , \quad j_i \equiv \langle \tilde{j}_i(0, 0) \rangle_{\vec{\beta}} .$$

Since there are as many  $\beta_i$  as there are conserved densities  $q_i$ , the set of averages  $\vec{q}$  can in fact be used to fully characterize the state.

This leads to the **equations of state**  $j_i = j_i(\vec{q})$ .

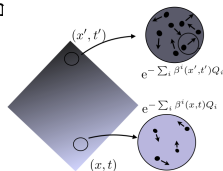
# Euler hydrodynamics

Real states are inhomogeneous/dynamical:  $\langle O(x, t) \rangle$ .

**Assumption:** local entropy maximisation

$$\langle O(x, t) \rangle \simeq \langle O(0, 0) \rangle_{\vec{\beta}(x, t)}$$

where  $(x, t)$  is a fluid cell  
(*separation of scales*). Calling



$$q_i(x, t) \equiv \langle \tilde{q}_i(0, 0) \rangle_{\vec{\beta}(x, t)} , \quad j_i(x, t) \equiv \langle \tilde{j}_i(0, 0) \rangle_{\vec{\beta}(x, t)}$$

leads to **Euler hydrodynamic equations**

$$\partial_t q_i(x, t) + \partial_x j_i(x, t) = 0 ,$$

which can be cast in their *quasilinear form* by defining the *flux Jacobian*

$$A_i^j(\vec{q}(x, t)) \equiv \frac{\partial j_i(\vec{q})}{\partial q_j} \quad \rightarrow \quad \partial_t q_i(x, t) + \sum_j A_i^j(x, t) \partial_x q_j(x, t) = 0 .$$

## Normal modes, entropy

- Diagonalizing  $A_i^j$  we get the *effective velocities*

$$RAR^{-1} = \text{diag}(v_i^{\text{eff}}).$$

If we can find functions  $n_i(\vec{q})$  (**normal modes**) s.t.

$$R_i^j = \frac{\partial n_i}{\partial q_j} \quad \rightarrow \quad \partial_t n_i + v_i^{\text{eff}} \partial_x n_i = 0$$

*i.e.*  $n_i$  is convectively transported at  $v_i^{\text{eff}}(\vec{n})$ .

- Define a *free energy* and *free energy flux* s.t.

$$q_i = \frac{\partial f}{\partial \beta^i}, \quad j_i = \frac{\partial g}{\partial \beta^i},$$

hence the *entropy density* and its *current*

$$s = \sum_i \beta^i q_i - f, \quad j_s = \sum_i \beta^i j_i - g.$$

Euler equations **conserve entropy**:

$$\partial_t s + \partial_x j_s = 0.$$

# Scattering map in integrable systems

**Integrable system:**  $\infty$  conserved quantities with local densities. Maximal entropy states still have the form (*Generalized Gibbs Ensemble*)

$$\rho \sim e^{-\sum_i \beta^i Q_i} \sim e^{-Q_w}.$$

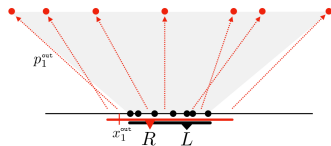
**Scattering map:** a recipe

1. Choose a vacuum
2. Excite the system over some region  $[0, L]$
3. Step to asymptotic coordinates, *i.e.* let the system evolve for  $t \gg 1$  on the line, until the density is null and the excitations are far apart
4. Put a measure on such coordinates and send  $L \rightarrow \infty$  to obtain the thermodynamics

# Asymptotic coordinates

Consider a set of particles with repulsive interactions, described by the canonical coordinates

$$\{x_n, p_m\} = \delta_{n,m} .$$



Let evolve until  $x_1 \ll x_2 \ll \dots \ll x_n$ ,  $p_n < p_{n-1}$ . Here the potential won't be felt anymore and the evolution will be free:

$$\begin{pmatrix} x_n(0) \\ p_n(0) \end{pmatrix} \xrightarrow[t^* \gg 1]{\mathcal{H}} \begin{pmatrix} x_n(t^*) \\ p_n(t^*) \equiv p_n^{\text{out}} \end{pmatrix} \xrightarrow[-t^*]{\mathcal{H}_{\text{free}}} \begin{pmatrix} x_n^{\text{out}} = x_n(t^*) - p_n^{\text{out}} t^* \\ p_n^{\text{out}} \end{pmatrix}$$

This defines the canonical maps

$$\{x_n, p_n\} \xrightarrow{S_{\text{out}}} \{x_n^{\text{out}}, p_n^{\text{out}}\} , \quad \{x_n, p_n\} \xrightarrow{S_{\text{in}}} \{x_n^{\text{in}}, p_n^{\text{in}}\} .$$



## Some consequences

- **Conserved charges**, being local (or quasi-local), can only depend on the asymptotic momenta when the particles are far apart:

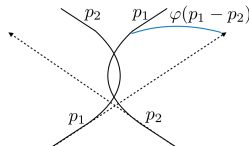
$$Q_w = \sum_i \beta^i Q_i \equiv \sum_n w(p_n^{\text{out}}), \quad w(p) = \sum_i \beta^i h_i(p).$$

- Scattering can be viewed as  $S_{\text{out}} \circ S_{\text{in}}^{-1}$ .
- The charges  $Q_i$  commute with  $\mathcal{H}$  and they can be thought as generators of nonlinear transformations acting on the asymptotic coordinates. This implies the factorization of scattering into **2-body processes**.
- In 1+1 dimensions, this means **elastic scattering**:

$$\{p_n^{\text{in}}\} = \{p_n^{\text{out}}\}.$$

# Quasiparticles

**Quasiparticle:** it's a *tracer* attached to real particles which jumps from particle to particle at collisions, following a given momentum. We label them by  $p_n^{\text{in}}$ .



Each scattering event is described by a **2-body shift**  $\varphi(p_n - p_m)$ . Summing over all of them,

$$x_n^{\text{out}} = x_n^{\text{in}} - \sum_{m>n} \varphi(p_n^{\text{in}} - p_m^{\text{in}}) + \sum_{m<n} \varphi(p_n^{\text{in}} - p_m^{\text{in}}).$$

This can be used to approximate  **$S_{\text{in}}$** :

$$x_n^{\text{in}} \simeq x_n + \left( \sum_{m>n, x_m < x_n} - \sum_{m<n, x_m > x_n} \right) \varphi(p_n^{\text{in}} - p_m^{\text{in}}).$$

# Quasiparticle description of thermodynamics

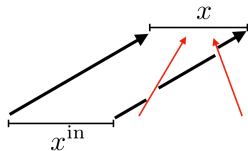
Let's compute the canonical partition function

$$\mathcal{Z} = \int_{x_n \in [0, L]} \prod_{n=1}^N dx_n dp_n e^{-\sum_i \beta^i Q_i}$$

$$\xrightarrow{S_{\text{in}}} \int \prod_n dp_n^{\text{in}} e^{-\sum_n w(p_n^{\text{in}})} \underbrace{\int_{x_n \in [0, L]} \prod_n dx_n^{\text{in}}}_{R^N}$$

where the volume contribution from quasiparticle  $n$  is

$$R = L + \sum_{m \neq n} \varphi(p_n^{\text{in}} - p_m^{\text{in}}).$$



# Quasiparticle description of thermodynamics

In the limit  $N, L \rightarrow \infty$  at fixed density, define the **density of quasiparticles**

$$\rho_p(p_n) = \lim_{N, L \rightarrow \infty} \frac{1}{L(p_{n+1} - p_n)}$$

so that

$$\frac{1}{L} \sum_n f(p_n^{\text{in}}) \rightarrow \int dp \rho_p(p) f(p) .$$

Rewrite the volume contribution in term of the **density of space**  $\rho_s(p)$ ,

$$\frac{R}{L} = 1 + \frac{1}{L} \sum_{m \neq n} \varphi(p_n^{\text{in}} - p_m^{\text{in}}) \rightarrow 2\pi \rho_s(p_n^{\text{in}}) = 1 + \int dp \rho_p(p) \varphi(p_n^{\text{in}} - p) .$$

Finally, construct the grancanonical partition function and perform a large deviation analysis.

# Thermodynamic Bethe Ansatz: ingredients

1. Spectral space
2. Scattering shift  $\varphi(p - p')$  (from 2-body scattering)
3. Free energy function  $F(\epsilon)$ , or occupation function  $n(\epsilon) = \frac{dF(\epsilon)}{d\epsilon}$ , e.g. for fermions/bosons

$$n(\epsilon) = (e^{\epsilon} \pm 1)^{-1}.$$

4. The functions  $h_i(p)$  which specify the conserved quantities,  $Q_i = \sum_n h_i(p_n^{\text{in}})$ . For example, in QM

$$Q_i |p_1, p_2, \dots, p_N\rangle = \sum_n h_i(p_n) |p_1, p_2, \dots, p_N\rangle.$$

5. The source term  $w(p) = \sum_i \beta^i h_i(p)$ , which specifies the state. For example, in QM,  $w(p)$  is the one-particle eigenvalue of  $\sum_i \beta^i Q_i$ .

# Thermodynamic Bethe Ansatz: results

- **Energy** contribution of the quasiparticle  $p$

$$\epsilon(p) = w(p) + \int \frac{dp'}{2\pi} \varphi(p - p') F(\epsilon(p'))$$

- **Dressing** operation

$$f^{\text{dr}}(p) = f(p) + \int \frac{dp'}{2\pi} \varphi(p - p') n(p') f^{\text{dr}}(p')$$

where  $n(p)$  is the **occupation function**

$$n(p) = \left. \frac{dF(\epsilon)}{d\epsilon} \right|_{\epsilon=\epsilon(p)} .$$

We can then rewrite

$$\rho_p(p) = \frac{1}{2\pi} 1^{\text{dr}}(p) n(p) , \quad \rho_s(p) = \frac{1}{2\pi} 1^{\text{dr}}(p) \quad \rightarrow \quad n(p) = \frac{\rho_p(p)}{\rho_s(p)} .$$

# Charges and currents

Charge/entropy densities are constructed as

$$q_i = \int dp \rho_p(p) h_i(p), \quad s = \int dp \rho_s(p) [\epsilon(p) n(p) - F(\epsilon(p))] .$$

Currents require a definition of *time*. We need as an extra ingredient the **energy function**

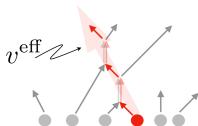
$$E(p) = h_k(p) \quad \text{s.t.} \quad \mathcal{H} \equiv Q_k = \sum_n h_k(p_n^{\text{in}}) .$$

This allows to define an **effective velocity**

$$v^{\text{eff}}(p) = \frac{(E')^{\text{dr}}(p)}{1^{\text{dr}}(p)} = \frac{(E')^{\text{dr}}(p)}{2\pi\rho_s(p)}$$

and finally the fluxes

$$j_i = \int dp \rho_p(p) v^{\text{eff}}(p) h_i(p), \quad j_s = \int dp \rho_s(p) v^{\text{eff}}(p) [\epsilon(p) n(p) - F(\epsilon(p))] .$$



# Fundamental equations

In **scattering theory** (with TBA),

$$\{\beta^i\} \leftrightarrow \{q_i\} \leftrightarrow \rho_p(p) \leftrightarrow n(p) \leftrightarrow w(p) \leftrightarrow \epsilon(p)$$

are all equivalent ways of describing the state of the system. In **hydrodynamics**, each *fluid cell*  $(x, t)$  has its maximal entropy state:

$$\rho_p(p) \rightarrow \rho_p(p, x, t) .$$

The conservation equations become

$$\int dp h_i(p) \left\{ \partial_t \rho_p + \partial_x \left( v^{\text{eff}} \rho_p \right) \right\} = 0 .$$

If  $\{h_i\}$  form a complete set, we get the **GHD equations**

$$\partial_t \rho_p(p, x, t) + \partial_x \left[ v^{\text{eff}}(p, x, t) \rho_p(p, x, t) \right] = 0$$

$$v^{\text{eff}}(p, x, t) = E'(p) + \int dp' \varphi(p - p') \rho_p(p', x, t) \left[ v^{\text{eff}}(p', x, t) - v^{\text{eff}}(p, x, t) \right] .$$



# Conservation laws and normal modes

- ▶ Again, **entropy** is conserved:

$$\partial_t s + \partial_x j_s = 0.$$

- ▶ The occupation function  $n(p)$  gives the **GHD normal modes**

$$\partial_t n(p, x, t) + v^{\text{eff}}(p, x, t) \partial_x n(p, x, t) = 0$$

*i.e.* the density of quasiparticle per unit available space in asymptotic coordinates is convectively transported by the GHD flow, along the **characteristic curve** with  $v^{\text{eff}}(p, x, t)$ .

- ▶ Any  $r(n)$  gives rise to a conservation law:

$$\partial_t [\rho_p \cdot r(n)] + \partial_x [v^{\text{eff}} \cdot \rho_p \cdot r(n)] = 0.$$

Choosing in particular  $r = \frac{1}{n}$ ,

$$\partial_t \rho_s + \partial_x [v^{\text{eff}} \rho_s] = 0.$$

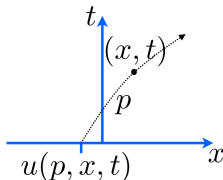
## Solution by characteristics

Define the characteristic curve starting at position  $u$

$$t \mapsto x(p, u, t) : \begin{cases} x(p, u, 0) = u \\ \partial_t x(p, u, t) = v^{\text{eff}}(p, x(p, u, t), t) . \end{cases}$$

By GHD,

$$\frac{d}{dt} n(p, x(p, u, t), t) = 0 .$$



Let's invert  $x(p, u, t) \rightarrow u(p, x, t)$ .

Climbing backwards from  $(x, t)$ , since  $n$  is constant,

$$n(p, x, t) = n(p, u(p, x, t), 0)$$

so if we know  $u = u(p, x, t)$  we have **solved the IVP**.

## Equation for $u(p, x, t)$

The function  $u(p, x, t)$  satisfies, just like  $n$ ,

$$\partial_t u(p, x, t) + v^{\text{eff}}(p, x, t) \partial_x u(p, x, t) = 0$$

with the initial condition  $u(p, x, 0) = x$ .

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**Example:** free model

$v^{\text{eff}}(p) \equiv v(p) = E'(p)$  for any state. Then  $x = u + v(p)t$ .

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Suppose the system is asymptotically homogeneous on the far left ( $x \leq x_0 \ll 0$ ) and does not evolve. Let

$$\hat{v}(p) \equiv 2\pi \rho_s(p, -\infty, 0) v^{\text{eff}}(p, -\infty, 0) .$$

Then  $u$  is determined by

$$2\pi \left\{ \int_{x_0}^u dy \rho_s(p, y, 0) - \int_{x_0}^x dy \rho_s(p, y, t) \right\} + \hat{v}(p) \cdot t = 0 .$$

## Geometric interpretation

Define a new space coordinate

$$d\hat{x} = 2\pi\rho_s(p, x, t) dx, \quad \hat{x}(p, t) = \int_{x_0}^x 2\pi\rho_s(p, y, t) dy.$$

In these terms, the equation for  $u$  becomes simply

$$\hat{x}(p, t) = \hat{u}(p, 0) + \hat{v}(p) \cdot t.$$

This change of metric **trivializes the fluid equation**,

$$\partial_t \hat{n}(p, \hat{x}, t) + \hat{v}(p) \partial_{\hat{x}} \hat{n}(p, \hat{x}, t) = 0,$$

because  $\hat{x}$  is the space perceived by asymptotic particles, whose evolution is trivial:

$$x_n^{\text{in}}(t) = x_n^{\text{in}} + p_n^{\text{in}} \cdot t, \quad p_n^{\text{in}}(t) = p_n^{\text{in}}.$$

Being  $n = \frac{\rho p}{\rho_s}$  the density in asymptotic phase space,

$$\text{GHD} = S_{\text{in}}^{-1}(\text{Liouville equations}).$$

## External force fields

Let's add a potential  $\mathcal{H} \mapsto \mathcal{H} + V(x)$  which breaks momentum conservation. Can we still use GHD?

→ Yes, if  $V(x)$  varies slowly: then each fluid cell will still locally maximize entropy.

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**Example:** Lieb-Liniger model with external  $V(x)$

$$\mathcal{H} = \int dx \left\{ \frac{1}{2} \partial_x \Psi^\dagger \partial_x \Psi + g \Psi^\dagger \Psi^\dagger \Psi \Psi + V(x) \Psi^\dagger(x) \Psi(x) \right\} .$$

Rewrite  $\mathcal{H}(x) = \mathcal{H}_{LL} + V(x)Q_0$ , where

$$\mathcal{H}_{LL} = \int_x dy \left\{ \frac{1}{2} \partial_y \Psi^\dagger \partial_y \Psi + g \Psi^\dagger \Psi^\dagger \Psi \Psi \right\} , \quad Q_0 = \int_x dy \Psi^\dagger \Psi .$$

This gives the *local energy function*

$$E(p, x) = \frac{p^2}{2} + V(x) .$$

## GHD equations with external $V(x)$

In general, one could couple a field to any charge:

$$\mathcal{H}(x) = \mathcal{H}_{LL} + \sum_i V^i(x) Q_i \quad \rightarrow \quad E(p, x) = \frac{p^2}{2} + \sum_i V^i(x) h_i(x) .$$

- The *effective velocity* gets modified as

$$v^{\text{eff}}(p, x, t) = \frac{[E'(\cdot, x)]^{\text{dr}}(p, x, t)}{1^{\text{dr}}(p, x, t)}$$

- GHD equations acquire a new term

$$\partial_t \rho_p + \partial_x (v^{\text{eff}} \rho_p) + \partial_p (a^{\text{eff}} \rho_p) = 0$$

where we introduced the **effective acceleration**

$$a^{\text{eff}}(p, x, t) = \frac{[-\partial_x E(\cdot, x)]^{\text{dr}}(p, x, t)}{1^{\text{dr}}(p, x, t)} .$$

# The flea gas algorithm

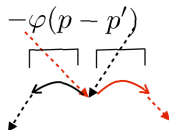
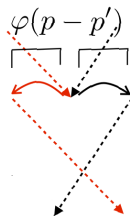
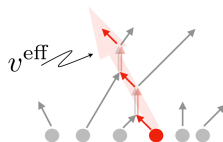
→ A molecular dynamics algorithm which solves GHD.  
We want to reproduce

$$\Delta x = E'(p)\Delta t + \sum_n \varphi(p - p_n) \cdot (\pm 1)$$

as it can be shown that

$$\langle \Delta x \rangle = v^{\text{eff}}(p)\Delta t.$$

- (i) Particles travel freely at  $v(p)$  and, when they collide, they jump instantly.
- (ii) They keep track of their encounters.
- (iii) We account for *inside-jumps* via a recursive procedure.



# Take home message

$$\begin{array}{c} \text{Hydrodynamics} \\ + \\ \text{TBA on integrable systems} \\ \Downarrow \\ \text{GHD} = S_{\text{in}}^{-1}(\text{Liouville equations}) \end{array}$$

*Thanks for your attention!*





*That's all Folks!*